

DEMAT ACCOUNT OPENING FORM



DYNAMIC EQUITIES PRIVATE LIMITED

DEPOSITORY	NATIONAL SECURITIES DEPOSITORY LIMITED
DP ID	IN302017
CLIENT NAME	
CLIENT ID	

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Important Instructions:

- A) Fields marked with '*' are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only Application Type* New Update
 (To be filled by financial institution) KYC Number (Mandatory for KYC update request)
 Account Type* Normal Simplified (for low risk customers) Small

1. PERSONAL DETAILS (Please refer instruction **A** at the end)

Prefix First Name Middle Name Last Name

Name* (Same as ID proof)

Maiden Name (If any*)

Father / Spouse Name*

Mother Name*

Date of Birth* DD - MM - YYYY

Gender* M- Male F- Female T-Transgender

Marital Status* Married Unmarried Others

Citizenship* IN- Indian Others (ISO 3166 Country Code)

Residential Status* Resident Individual Non Resident Indian Foreign National Person of Indian Origin

Occupation Type* S-Service (Private Sector Public Sector Government Sector) O-Others (Professional Self Employed Retired Housewife Student) B-Business X- Not Categorized

PHOTO

Signature / Thumb Impression

2. TICK IF APPLICABLE RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction **B** at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence*

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth* ISO 3166 Country Code of Birth*

3. PROOF OF IDENTITY (PoI)* (Please refer instruction **C** at the end)

(Certified copy of any one of the following Proof of Identity[PoI] needs to be submitted)

A- Passport Number Passport Expiry Date DD - MM - YYYY

B- Voter ID Card

C- PAN Card

D- Driving Licence Driving Licence Expiry Date DD - MM - YYYY

E- UID (Aadhaar)

F- NREGA Job Card

Z- Others (any document notified by the central government) Identification Number

S- Simplified Measures Account - Document Type code Identification Number

4. PROOF OF ADDRESS (PoA)*

4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction **D** at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type* Residential / Business Residential Business Registered Office Unspecified

Proof of Address* Passport Driving Licence UID (Aadhaar) Voter Identity Card NREGA Job Card Others Simplified Measures Account - Document Type code

Address

Line 1*

Line 2

Line 3

District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. PROOF OF IDENTITY (POI):-

List of documents admissible as

Proof of Identity:

1. PAN card with photograph. This is mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D)
2. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA):- List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.

2. Utility bill which is not more than 2 months old of any Service Provider (electricity, telephone, post-paid mobile phone, piped gas, water bill)
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/ Scheduled Co operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account Power of Attorney given by FII/sub account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. EXEMPTIONS/CLARIFICATIONS TO PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with- IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. LIST OF PEOPLE AUTHORIZED TO ATTEST THE DOCUMENTS:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the client resides are permitted to attest the documents.

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ACCOUNT OPENING FORM (FOR INDIVIDUAL)

DYNAMIC EQUITIES PVT. LTD.

Technopolis, 14th Floor, Plot No. BP4, Sector V, Salt Lake, Kolkata-700 091, W.B., India

DP ID	I	N	3	0	2	0	1	7	Client ID <small>(To be filled by the Participant)</small>									
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I/We request you to open a Depository account in my/our name as per following details
(Please fill all details in CAPITAL / BLOCK LETTERS)

Date	D	D	M	M	Y	Y	Y	Y
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A. DETAILS OF ACCOUNT HOLDER(S)

Account Holder(s)	Sole / First Holder	Second Holder	Third Holder
Name			
PAN			
Occupation (Please tick any one and give brief details)	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Private Sector
	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired	<input type="checkbox"/> Public Sector
	<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife	<input type="checkbox"/> Government Service
	<input type="checkbox"/> Business	<input type="checkbox"/> Student	<input type="checkbox"/> Business
	<input type="checkbox"/> Professional	<input type="checkbox"/> Others <small>(Please specify) _____</small>	<input type="checkbox"/> Professional
Brief Details			

B. For Association of Persons (AOP), Partnership Firm, Unregistered Trust etc., although the Account is opened in the name of the natural persons, the name & PAN of the Association of Persons (AOP), Partnership Firm, Unregistered Trust etc., should be mentioned below:

Name	PAN
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C. TYPE OF ACCOUNT (Please tick whichever is applicable)

<input type="checkbox"/> Ordinary Resident	<input type="checkbox"/> NRI-Repatriable	<input type="checkbox"/> NRI-Non Repatriable	<input type="checkbox"/> Margin
<input type="checkbox"/> Qualified Foreign Investor	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Promoter	<input type="checkbox"/> Others (Please Specify) _____

D. GROSS ANNUAL INCOME DETAILS (Please tick any one)

Income Range per annum in Rs	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lac	<input type="checkbox"/> 5-10 Lac	<input type="checkbox"/> 10-25 Lac	<input type="checkbox"/> More than 25 Lac
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E. In case of NRIs/Foreign Nationals

RBI Approval Reference Number		RBI Approval Date	D	D	M	M	Y	Y	Y	Y
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F. BANK DETAILS

Bank Account Type	<input type="checkbox"/> Savings Account <input type="checkbox"/> Current Account <input type="checkbox"/> Others (Please specify) _____									
Bank Account Number										
Bank Name										
Branch Address	City/Town/Village								PIN Code	
	State				Country					
	MICR Code									
IFSC Code										

G. Please tick, if applicable

Politically Exposed Person (PEP) Related to Politically Exposed Person (PEP) None

H. Standing Instructions

1.	I/We authorise you to receive credits automatically into my/our Account	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2.	Account to be operated through Power of Attorney (PoA) / DDPI	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3.	SMS Alert facility: [Mandatory if you are giving Power of Attorney (POA/ DDPI), Ensure that the mobile number is provided in the KYC Application Form]		
	Sr. No.	Holder	Yes No
	1.	Sole / First Holder	<input type="checkbox"/> <input type="checkbox"/>
	2.	Second Holder	<input type="checkbox"/> <input type="checkbox"/>
	3.	Third Holder	<input type="checkbox"/> <input type="checkbox"/>
4.	Mode of receiving Statement of Account & *Rights and Obligation* Document [Tick any one]	<input type="checkbox"/> Physical Form <input type="checkbox"/> Electronic Form [Read Note 3 in Page No. 15 and ensure that Email Id is provided in KYC Application Form]	
5.	I/ We wish to avail BSDA Facility	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6.	I/We wish to receive Annual Reports, AGM, Notices and other communications from Issuers & RTA in	<input type="checkbox"/> Physical Form	<input type="checkbox"/> Email
7.	I/ We would like to receive Rights & Obligation Documents in	<input type="checkbox"/> Physical Form	<input type="checkbox"/> Email
8.	Standing Instruction Indicator for Auto Pledge Confirmation	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I. Guardian Details (Where Sole Holder is a Minor)

[For Account of a Minor, two KYC Application Forms must be filled i.e. one of the Guardian and another for the Minor (to be signed by Guardian)]

Guardian Name										
Relationship of Guardian with Minor		PAN								

J. NOMINATION Option

I/We wish to make a Nomination (Details are provided at Nomination Form) I/We opted out of Nomination

K. DECLARATION

A. The Rules and Regulation of the Depository & Depository Participants pertaining to an Account which are in force now have been read by me/us and I/We have understood the same and I/We agree to abide by and to be bound by the rules as are in force from time to time for such Accounts. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / We are aware that I/We may be held liable for it. In case non-resident Account, I/We also declare that I/We have compiled and will continue to comply with FEMA regulations. I/We acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

B. I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

Name of Holders		Signature(s) of Holder
Sole / First Holder / Guardian (in case Sole Holder is Minor) Mr. / Ms.)		Signature of First Holder

Second Holder (Mr. / Ms.)		Signature of Second Holder
Third Holder (Mr. / Ms.)		Signature of Third Holder

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a regional language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines) copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRP's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. PROOF OF IDENTITY (POI): - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. PROOF OF ADDRESS (POA): - List of documents admissible as Proof of Address:

(*Documents having an expiry date should be valid on the date of submission.)

F. IN CASE OF NON-INDIVIDUALS, ADDITIONAL DOCUMENTS TO BE OBTAINED FROM NON-INDIVIDUALS, OVER & ABOVE THE POI & POA, AS MENTIONED BELOW:

1. Passport/Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy/ Unique Identification Number (UID) (Aadhaar).
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook — Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court Judges, giving a new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/Sub account, Power of Attorney given by FII/Sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. EXEMPTIONS/CLARIFICATION TO PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs. 50,000/-p.a.
5. In case of Institutional clients, namely, FIIs, MFs, VCFs, FVCs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under Section 4A of the Companies Act, 1956. Custodians shall verify the PAN card details with the original PAN Card and provide duly certified copies of such verified PAN details to the intermediary.

E. LIST OF PEOPLE AUTHORIZED TO ATTEST THE DOCUMENTS:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

CORPORATE

- Copy of the balance sheets for the last 2 financial years or for the relevant applicable period (to be submitted every year).
- Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year).
- Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations.
- Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly.
- Copies of the Memorandum and Articles of Association and certificate of incorporation.
- Copy of the Board Resolution for investment in securities market.
- Authorised signatories list with specimen signatures

PARTNERSHIP FIRM

- Copy of the balance sheets for the last 2 financial years or as applicable (to be submitted every year).
- Certificate of registration (for registered partnership firms only).
- Copy of partnership deed.
- Authorised signatories list with specimen signatures.
- Photograph, POI, POA, PAN of Partners.

TRUST

- Copy of the balance sheets for the last 2 financial years or as applicable (to be submitted every year).
- Certificate of registration (for registered trust only).
- Copy of Trust deed.
- List of trustees certified by managing trustees/CA.
- Photograph, POI, POA, PAN of Trustees.

HUF

- PAN of HUF.
- Deed of declaration of HUF/ List of coparceners.
- Bank pass-book/bank statement in the name of HUF.
- Photograph, POI, POA, PAN of Karta.

UNINCORPORATED ASSOCIATION OR A BODY OF INDIVIDUAL

- Proof of Existence / Constitution document
- Resolution of the managing body & Power of Attorney granted to transact business on its behalf.
- Authorised signatories list with specimen signatures.

BANK / INSTITUTIONAL INVESTORS

- Copy of the constitution / registration or annual report / balance sheet for last 2 Financial years
- Authorised signatories list specimen signatures

FOREIGN INSTITUTIONAL INVESTORS (FII)

- Copy of SEBI registration certificate
- Authorised signatories list with specimen signatures

ARMY/GOVERNMENT BODIES

- Self-certification on letterhead.
- Authorised signatories list with specimen signatures.

REGISTERED SOCIETY

- Copy of Registration Certificate under Societies Registration Act.
- List of Managing Committee members.
- Committee resolution for persons authorised to act as authorised signatories with specimen signatures.
- True copy of Society Rules and Bye Laws certified by the Chairman / Secretary.

Nomination Form

Dynamic Equities Pvt. Ltd Technopolis building, BP-4 SALT LAKE, SECTOR 5 Kolkata-700091				FORM FOR NOMINATION (To be filled in by individual applying singly or jointly)			
Date		DD MM YY YY DPID	IN302017		CLIENT		
I/We wish to make a nomination. [As per details given below]							
Nomination Details							
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.							
Nomination can be made upto three nominees in the account.		Details of 1st Nominee		Details of 2nd Nominee			
Mandatory Details							
1	Name of the nominee(s) (Mr./Ms.)*						
2	Share of each Nominee	Equally [If not equally, please specify percentage]	%	%	%		
Any odd lot after division shall be transferred to the first nominee mentioned in the form.							
3	Relationship With the Applicant (If Any)						
* Date of Birth of minor and Name of Guardian to be provided in case of minor nominee(s)							
4	Date of Birth	DD/MM/YYYY	Name of Guardian				
Non-Mandatory Details							
5	Address of Nominee(s) City / Place: State & Country:						
PIN Code							
6	Mobile / Telephone No. of nominee(s)/						
7	Email ID of nominee(s)						
8	Nominee(s) / Identification details – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID						
Sr. Nos. 9-12 should be filled only if nominee(s) is a minor:							
9	Address of Guardian (in case of Minor nominee(s)) City / Place: State & Country:						
PIN Code							
10	Mobile / Telephone No. of Guardian (in case of Minor nominee(s))						
11	Email ID of Guardian (in case of Minor nominee(s))						

12	Guardian Identification details (in case of Minor nominee(s)) – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			
	Name(s) of holder(s)	Signature(s) of holder*		
Sole / First Holder (Mr./Ms.)				
Second Holder (Mr./Ms.)				
Third Holder (Mr./Ms.)				

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Depository Participant shall provide acknowledgement of the nomination form to the account holder(s).

Annexure - B

Declaration Form for opting out of nomination

										Date	D	D	M	M	Y	Y	Y	Y
Trading Code																		
DP ID										Client ID								
Sole/First Holder Name																		
Second Holder Name																		
Third Holder Name																		
<p>I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demataccount and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.</p>																		
Name and Signature of Holder(s)*																		
Sole /1 st Holder :										2 nd Holder :						3 rd Holder :		

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Notes:

1. In case of additional signatures, separate annexure's should be attached to the application form.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. For receiving Statement of Account in electronic form:
 - I. Client must ensure the confidentiality of the password of the Email Account.
 - II. Client must promptly inform the Participant if Email address has changed.
 - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
4. Strike off whichever is not applicable.

TERMS & CONDITIONS FOR RECEIVING ACCOUNT OPENING INTIMATION, CLIENT MASTER REPORT, COPY OF ALL EXECUTED AGREEMENTS, CHARGE STRUCTURE, BILLS, HOLDINGS/TRANSACTION STATEMENTS AND OTHER INTIMATIONS/NOTICES BY EMAIL OR ON WEBSITE

- i) The Client agrees to receive the Account Opening Intimation, Client Master Report Copy of all Executed-Agreements, Charge-Structure, Bills, Holding Transaction Statements & Other Intimations/Notices only in electronic form (Email/Web-based) from the Depository Participant (DP). In case when the DP is not able to provide above mentioned documents to the Client in electronic form (Email/Web-based) due to any unforeseen problems, the DP shall ensure that the same reaches to the Client in physical form as per the time schedule stipulated in the Bye Laws & Business Rules of NSDL.
- ii) The Client will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of Internet/Email/Web-based Account.
- iii) The Client is aware that all the documents (mentioned in Point No.i) may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised,
- iv) As all the documents (mentioned in Point No. i) are sent through Email, the Client shall immediately inform the DP about change in Email address, if any.
- v) The DP and the Client shall have the right to terminate this arrangement after giving a written notice of at least 10 days in advance to the other party.

Signed and Delivered by:		
For and on behalf of the Client		For and on behalf of Dynamic Equities Pvt. Ltd.- DP
Signature of First Holder		
Signature of Second Holder		
Signature of Third Holder		
Witness Details:		
For and on behalf of the Client		For and on behalf of Dynamic Equities Pvt. Ltd. - DP
Name		Name
Address		Address: Technopolis, 14th Floor, Plot No. BP 4, Sector V, Salt Lake, Kolkata 700 091
Signature		Signature

Any Correction / Alteration / Cancellation requires Holder(s) Authentication

INTENTIONALLY KEPT BLANK

Demat Debit and Pledge Instruction (DDPI)

TO ALL TO WHOM THESE PRESENTS SHALL COME I/WE _____ residing at

_____ ,
hold a Beneficiary Account No _____ (BO-ID) through Dynamic Equities Pvt. Ltd. with National Securities Depositories Ltd. (bearing DP ID IN302017).

And Whereas I am/we are an investor under client code _____ engaged in buying and selling of securities through Dynamic Equities Pvt. Ltd. a Member of NSE and BSE and MCX having SEBI Registration. No. INZ000193533.

NOW KNOW I/WE ALL AND THESE PRESENTS WITNESSETH THAT I/WE THE ABOVE NAME DO HEREBY INSTRUCT

Dynamic Equities Pvt. Ltd. an Indian Company registered under the Companies Act, 1956, having its Regd. Office at Technopolis 14th Floor, Plot BP-4, Sector-V, Salt Lake, Kolkata - 700 091, W.B. to exercise and perform the following acts, deeds and things as mentioned below on my/our behalf:

S. No.	Purpose	Signature of Client
1	Transfer of securities held in my / our beneficial owner account towards Stock Exchange related to deliveries / settlement obligations arising out of trades executed by me / us on the Stock Exchange through Dynamic Equities Pvt. Ltd.	✓ 1 st Holder –
		✓ 2 nd Holder –
		✓ 3 rd Holder –
2	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements in connection with the trades executed by me / us on the Stock Exchange.	✓ 1 st Holder –
		✓ 2 nd Holder –
		✓ 3 rd Holder –
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	✓ 1 st Holder –
		✓ 2 nd Holder –
		✓ 3 rd Holder –
4	Tendering shares in open offers through Stock Exchange platforms	✓ 1 st Holder –
		✓ 2 nd Holder
		✓ 3 rd Holder –

The Demat account details of Member where shares can be transferred for above purpose are mentioned below:

Account Name	Depository	NSDL DP ID	NSDL Client ID	CDSL DP ID	CDSL Client ID
NSE Pool	NSDL	IN302017	10000023 (CM BP- IN558615)	12020600	01053052
BSE Pool	NSDL	IN302017	10002053 (CM BP- IN609896)	-	-
TM CM Client Securities Margin Pledge A/c	NSDL	IN302017	10194046	-	-
NSE CLEARING LIMITED (POOL)			IN565576	-	-
INDIAN CLEARING CORPORATION LIMITED (ICCL)			IN620031	--	--
PMS POOL	NSDL	IN303270	11010884 (CM BP- IN634209)		

SIGNATURE(s)		
1 st /Sole Holder	Second Holder	Third Holder

IN WITNESS WHEREOF, Dynamic Equities Pvt. Ltd. has caused this Demat Debit and Pledge Instruction to be executed on this _____ day of _____ 20_____, at KOLKATA.

Witness 1 (On behalf of Client)	Name:	Address:	Signature of Witness
Witness 2 (For and on behalf of Dynamic Equities Pvt. Ltd.)	Name:	Address:	Signature of Witness

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TARIFF SHEET FOR INDIVIDUAL

Dear Sir/Madam,

I/We hereby agree to pay the Schedule of Charges for Depository Services and abide by the rules & regulations as laid down by the DP (DEPL) as mentioned below:

TYPE		POA 1st A/c	POA 2nd A/c / Non-POA A/c
Advance Amount	(For receiving Statement in Electronic Form)	For Individuals - ₹ 472/-	For Individuals - ₹ 590/-
	(For receiving Statement in Physical Form)	For Individuals - ₹ 708/-	For Individuals - ₹ 826/-
Account Maintenance Charges Per Annum	(For receiving Statement in Electronic Form)	For Individuals - ₹ 400/-	For Individuals - ₹ 500/-
	(For receiving Statement in Physical Form)	For Individuals - ₹ 600/-	For Individuals - ₹ 700/-
Transaction (Trxn.) Charges Sell: • Market (Mkt.) • Off Market (Off-Mkt.)		Mkt. Trxn. through CM-DEPL ₹ 9/- (Debited in Contract Note) Mkt. Trxn. through Other CM ₹ 20/- Off-Mkt. Trxn. ₹ 20/-	For POA 2nd A/c Mkt. Trxn. through CM-DEPL ₹ 9/- (Debited in Contract Note) Mkt. Trxn. through Other CM ₹ 20/- Off-Mkt. Trxn. ₹ 20/- For Non-POA A/c Min. ₹ 20/- per Trxn. or 0.02% on value of the Trxn. whichever is higher
Transaction (Trxn.) Charges Buy		Nil	Nil
Dematerialisation Charges		Min. ₹ 50/- per Request upto 16 Cert. thereafter ₹ 3/- per Cert.	Min. ₹ 50/- per Request upto 16 Cert. thereafter ₹ 3/- per Cert.
Rematerialisation Charges		₹ 20/- per Cert. or ₹ 20/- for every hundred Securities or part thereof, whichever is higher	₹ 20/- per Cert. or ₹ 20/- for every hundred Securities or part thereof, whichever is higher
Pledge-Creation / Closure		Min. ₹ 50/- per Trxn. or 0.02% on value of the Trxn. whichever is higher	Min. ₹ 50/- per Trxn. or 0.02% on value of the Trxn. whichever is higher
Demat Rejection		Min. ₹ 30/- per ISIN	Min. ₹ 30/- per ISIN
Extra Statement Charges		Min. ₹ 50/- per Request upto 10 Pages thereafter ₹ 5/- per Page	Min. ₹ 50/- per Request upto 10 Pages thereafter ₹ 5/- per Page
Lost D.I.B. Charges		₹ 50/- per Request	₹ 50/- per Request
Postage Charges		₹ 50/- per Request	₹ 50/- per Request
NSDL		I N 3 0 2 0 1 7	
Sole / First Holder		Second Holder	Third Holder

NOTE:

- The above Charges are subject to NSDL Charges and will be revised on revision by DEPL-DP/NSDL from time to time.
- The above Charges are exclusive of GST (latest @18%) and other levies levied by Government Bodies/Statutory Authority from time to time.

DECLARATION OF SAME MOBILE NUMBER AND / OR EMAIL ID

First Holder

DP ID	IN302017	CLIENT ID		DATE	D	D	M	M	Y	Y	Y	Y
Name of Account Holder												
Mobile Number		<input type="checkbox"/>										
Email ID		<input type="checkbox"/>										
I hereby declare that the aforesaid Mobile Number or E-mail ID belongs to <input type="checkbox"/> Me or <input type="checkbox"/> My family (spouse, dependent children and dependent parents)												
Signature of Account Holder												

Second Holder

DP ID	IN302017	CLIENT ID		DATE	D	D	M	M	Y	Y	Y	Y
Name of Account Holder												
Mobile Number		<input type="checkbox"/>										
Email ID		<input type="checkbox"/>										
I hereby declare that the aforesaid Mobile Number or E-mail ID belongs to <input type="checkbox"/> Me or <input type="checkbox"/> My family (spouse, dependent children and dependent parents)												
Signature of Account Holder												

Third Holder

DP ID	IN302017	CLIENT ID		DATE	D	D	M	M	Y	Y	Y	Y
Name of Account Holder												
Mobile Number		<input type="checkbox"/>										
Email ID		<input type="checkbox"/>										
I hereby declare that the aforesaid Mobile Number or E-mail ID belongs to <input type="checkbox"/> Me or <input type="checkbox"/> My family (spouse, dependent children and dependent parents)												
Signature of Account Holder												

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Option for issuance of DIS Booklet alongwith Account Opening

(to be filled by persons seeking to open a Depository Account who have given Power of Attorney to operate the Depository Account to a Stock Broker / Participant / Portfolio Manager and do not intend to open a Basic Service Demat Account)

Dynamic Equities Pvt. Ltd. Technopolis, 14th Floor, Plot No. BP4, Sector V, Salt Lake, Kolkata-700 091		DP ID	I	N	3	0	2	0	1	7
Name(s) of Account Holder (s)	Sole/First Holder									
	Second Holder									
	Third Holder									

OPTION for issue of Booklet (Please tick any one)

Option 1

I / We wish to receive the Delivery Instruction Slip (DIS) Booklet with Account Opening.

Option 2

I / We do not wish to receive the DIS Booklet with Account Opening. However, the DIS Booklet should be issued to me/us immediately on my/our request at any later date.

Beneficial Owner	Name	Signature with date
Sole / First Holder		
Second Holder		
Third Holder		

Any Correction / Alteration / Cancellation requires Holder(s) Authentication

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----- (Please Tear Here) -----

Dynamic Equities Pvt. Ltd. (DP ID - IN302017)

Technopolis, 14th Floor, Plot No. BP4, Sector V, Salt Lake, Kolkata-700 091

Received the application from Mr. / Ms. / Messrs _____ as the Sole/First Holder alongwith _____ and _____ as the Second and Third Holders respectively for opening of a Depository Account. Please quote the DP ID & Client ID allotted to you in all your future correspondence.

Date	D	D	M	M	Y	Y	Y	Y
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Participant Stamp & Signature

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Value Stocks

Registered Office:

Technopolis, 14th Floor, Plot No. BP4 Sector V, Salt Lake, Kolkata - 700091

Phone: +91 33 40099400

SEBI REGISTERED NUMBER

- Portfolio Manager - INP000003468
- Stock Broker - INZ000193533
- AMFI ARN - 124058
- NSE, BSE, MCX - INZ000193533
- CIN - U67190WB1999PTC090087
- Investment Advisory Reg No - INA300002022
- DP with NSDL Reg No - IN-DP-650-2021
- BASL Member Id - BASL1505
- Research Analyst - INH000019178

FOR ANY QUERY, PLEASE EMAIL US AT

dp@valuestocks.in

compliance@valuestocks.in